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2874
G/IDS
T. Steptoe
3-20-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Goto

Serial No. 09/739,639

Group Art Unit: 2874

Filed: 12-20-00

Examiner: George Y. Wang

For: **OPTICAL WAVEGUIDE MODULE**

Commissioner of Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following documents, cited in a Japanese Office Action for the corresponding application and listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

I hereby certify that each item of information contained in this information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing of this statement.

In compliance with the requirements of 37 C.F.R. §1.98 (a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the reference was

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cited. The relevance to the pending U.S. Patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the reference, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith. It is respectfully requested that the listed references be considered by the Examiner and be formally made of record in the application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-2041.



Respectfully submitted,

A handwritten signature in dark ink is written over the typed name. The signature is cursive and appears to read 'Michael E. Whitham'.

Michael E. Whitham
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